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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.  
Application No. : 09/553,423 Confirmation No. : 3987  
Filed : April 19, 2000  
For : SYSTEMS AND METHODS FOR TRADING  
Group Art Unit : 3628  
Examiner : Harish T. Dass

New York, New York  
March 22, 2004

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to  
the following document:

U.S. PATENT DOCUMENT

Wilton et al. 6,519,574 (02/11/03)

This document is listed on the accompanying  
Form PTO-1449 (submitted in duplicate) and a copy is  
enclosed herewith.

03/25/2004 WABDELRI 00000106 09553423

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Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that this document be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

This Statement is submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application.

In accordance with 37 C.F.R. § 1.97(c), a check for \$180.00 in payment of the fee set for in 37 C.F.R. § 1.17(p) is submitted herewith. The Director is hereby authorized to charge payment of any additional fees required in connection with this Supplemental Information Disclosure Statement, or credit any overpayment of the same, to Deposit Account No. 06-1075. A duplicate copy of this Statement is transmitted herewith."



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